

10/586150

AP20 Rec'd PCT/PTO 14 JUL 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96039

Koichi SHIMOKAWA

Appln. No.: National Stage of PCT/JP2005/000214

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: July 14, 2006

Examiner: Not yet assigned

For: LUBRICANT FOR MAGNETIC DISKS, METHOD FOR PRODUCING THE  
LUBRICANT USED IN THE MAGNETIC DISKS, AND METHOD FOR  
MANUFACTURING THE SAME

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §§ 1.97 and 1.98**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

A copy of each of the listed documents is submitted herewith. Also, a copy of an International Search Report in a counterpart application is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office

INFORMATION DISCLOSURE STATEMENT  
U.S. Appln. No.: National Stage of PCT/JP2005/000214  
Attorney Docket No. Q96039

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Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant encloses herewith a copy of a Communication from a foreign patent office in a counterpart application citing such documents (International Search Report for PCT/JP2005/000214 dated May 10, 2005), together with an English-language version (if not already included) of at least that portion of the Communication indicating the degree of relevance found by the foreign patent office.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account.


Respectfully submitted,

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23373

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Date: July 14, 2006

Substitute for Form 1449 A & B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Complete if Known

Application Number **10/586150**  
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Filing Date July 14, 2006  
First Named Inventor Koichi SHIMOKAWA  
Art Unit Not yet assigned  
Examiner Name Not yet assigned  
Attorney Docket Number Q96039

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	2001-229524	A	08-24-2001	FUJI ELECTRIC CO LTD	Abstract
		JP	2002-25046	A	01-25-2002	HOYA CORP	Abstract
		JP	2000-235709	A	08-29-2000	MITSUBISHI CHEM CORP	Abstract
		JP	2001-152176	A	06-05-2001	HITACHI LTD.	Abstract
		JP	2004-103074	A	04-02-2004	HOYA CORP	Abstract
		JP	2004-253110	A	09-09-2004	HOYA CORP	Abstract
		JP	2004-319058	A	11-11-2004	HOYA CORP; HOYA MAGNETICS SINGAPORE PTE L	Abstract
		JP	62-66417	A	03-25-1987	NIPPON ELECTRIC CO	Abstract
		JP	9-282642	A	10-31-1997	SHOWA DENKO KK	Abstract
		JP	10-143838	A	05-29-1998	SHOWA DENKO KK	Abstract
		JP	2001-164279	A	06-19-2001	HOYA CORP	Abstract

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or follow the hyperlink from the title of the document to the intranet. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.